
HANDLING OF COMPLAINTS

January 2024

INTRODUCTION

Boussard & Gavaudan continuously strives to provide its clients with the highest quality of service possible.

However, aware that problems can sometimes arise, our complaint handling management framework is there to help you.

Our framework has two main objectives:

- Improve customer satisfaction through a reasonable and quick handling of complaints we receive.
- Improve our products, procedures, and the operation of our organisation. By identifying weaknesses and potential problems, complaint monitoring helps us to implement the appropriate corrective action needed.

The purpose of this document is to explain how to submit a complaint. It is also designed to inform you on our commitments and provide you with all helpful information about our complaint handling procedure and available remedies you have.



DO YOU HAVE A COMPLAINT?

A complaint is a declaration of your dissatisfaction with Boussard & Gavaudan in relation to one of the investment services provided to you (asset management / investment advice), to the subscription of one of our fund or to its legal documentation.

Any request sent to Boussard & Gavaudan for information, clarification or any opinion, clarification, service or benefit requested will be handled by our teams without being considered as a complaint.

HOW TO SUBMIT YOUR COMPLAINT ?

1/ - You may submit your complaint at any time to our Client Services department, which will seek to reach a solution.

By e-mail at the address below, specifying in the subject of your message that it is relating to a complaint:

BG.Investor.Services@bgam-fr.com

By post to the following address:

Boussard & Gavaudan

69 Boulevard Haussmann

75008 Paris - France

By telephone (no surcharge):

France: 01 44 90 41 00

Outside France: +33 (0)1 44 90 41 00(You may be asked for a written confirmation by e-mail or post.)

2/ - If you subscribed to one of our fund on the advice of an intermediary, please kindly submit your complaint directly to this financial institution. Contact information for the complaint department is generally available on the website of the entity in question.

Otherwise, and if a Boussard & Gavaudan entity or product is involved, our staff can act as a relay with this intermediary. For this purpose, your complaint must include the name of this institution as well as any helpful information that you may have so that your complaint can be handled efficiently.

OUR COMMITMENTS

We guarantee a free, fast and transparent complaint handling and as efficient as possible to find a solution that satisfies you.

FREE SERVICE

We will handle your complaint free of charge. You will not incur any special costs (administrative nor other fees) associated with handling your complaint.

TIMELINESS

Boussard & Gavaudan is committed to handle complaints within the following timeframe:

- maximum of ten working days from the receipt of your complaint for an acknowledgement of receipt, unless you obtained a response within this period;
- maximum of two months between the date of your complaint receipt and the date when the response is sent to you, unless a special circumstance occurred that is duly justified to you.

TRANSPARENCY

We promise to respond to any information requested concerning information the progress of your complaint handling.

We will also keep you informed when, due to special circumstances, we are unable to provide a response within the promised timeframe.

EFFICIENCY

Our Investor Services department has the necessary resources and expertise for a fair and consistent complaints handling from our customers or prospects, including non-resident one.

Boussard & Gavaudan set up a Policy of complaints' handling and controls are in place in order to identify any dysfunction and to follow the implementation of the remedial actions plan associated if any.

In addition to French and English, which are our standard working languages, customers who hold units or shares of funds marketed in a member State of the European Union may send their complaint to us in the official language of this State and will receive a response in this same language.

REFERRAL TO THE AMF MEDIATOR

Boussard & Gavaudan Gestion SAS is a management company regulated by the French Financial Markets Authority (AMF). The AMF has a mediation service to which you may refer regarding the resolution of your complaint.

You can contact at any time (free of charge) the AMF by post to the following address :

17 place de la Bourse 75082 Paris Cedex 2 or by electronic form via the AMF website <https://www.amf-france.org/lemediateur>

ESCALATION TO THE UK FINANCIAL OMBUDSMAN

Boussard & Gavaudan Investment Management is an investment firm regulated by the Financial Conduct Authority (FCA). The FCA has an ombudsman service to which you may refer regarding the resolution of your complaint:

<https://www.financial-ombudsman.org.uk/>